

ACCOUNT APPLICATION FORM

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Corporate

In accordance with the Capital Markets and Services Act 2007, this Account Application Form ("AAF") should not be circulated unless accompanied by the latest prospectus or information memorandum and supplementary(ies) thereto (if any). You should read and understand the latest prospectus or information memorandum and supplementary(ies) thereto (if any) before completing this AAF. If in doubt, please consult a professional adviser.

Note: Use only **BLOCK LETTERS** and **BLACK INK** when completing this form and tick [x] where applicable. Field names with **Bold Italic** font style are mandatory information.

1. DETAILS OF CORPORATE APPLICANT

Name Of Corporation (as per Certificate of Incorporation) _____

Business Registration No. _____ **Date Of Incorporation** DD - MM - YYYY

Country Of Incorporation ☐ Malaysia ☐ Others - Please specify _____

For Non-Malaysian Tax Resident, please state your Tax Resident Country _____

Status ☐ Bumiputra Controlled ☐ Non-Bumiputra Controlled ☐ Non-Malaysian Controlled

Business ☐ Please select from the codes in Section A below. Others - Please specify _____

Nature Of Business _____

Source Of Income ☐ Please select from the codes in Section B below. Others - Please specify _____

Contact Person (1) _____

Designation _____ **Department** _____

Tel. No. _____ (O) _____ ext _____

Fax. No. _____

E-mail Address _____

Contact Person (2) _____

Designation _____ **Department** _____

Tel. No. _____ (O) _____ ext _____

Fax. No. _____

E-mail Address _____

By providing your e-mail address and/or handphone number to us, we have your consent to communicate/send information (including GST related documents) to you via e-mail and SMS.

2. CORRESPONDENCE ADDRESS

Address _____

Postcode _____ **City/Town** _____

State _____ **Country** _____

Tel. No. _____ (O) _____ **Fax. No.** _____



3. BANK ACCOUNT DETAILS

Bank Name _____ **Bank Account No.** _____ **Bank Branch** _____

4. DECLARATIONS AND SIGNATURES

- I/We acknowledge that I/we have received, read and fully understand the relevant prospectus or information memorandum and supplementary(ies) thereto (if any) for the Fund(s) and the Terms and Conditions of the AAF prior to completing the AAF.
- I/We undertake to be bound by the latest prospectus or information memorandum and supplementary(ies) thereto (if any) for the Fund(s), the Terms and Conditions of the AAF and provisions of the deed and supplemental deed(s) (if any) of the Fund(s) for my/our initial and all subsequent transactions with BOS Wealth Management Malaysia Berhad (BOSWM MY).
- I/We declare that I am / we are neither engaged in any unlawful activity nor are my/our moneys obtained from any illegal source or related to any illegal activity.
- I/We declare that I am / we are in compliance with and undertake that I/we will comply with all applicable laws and regulations.
- I am / We are duly authorised officer(s) of the Institution, and warrant that the Institution has the power and capacity to enter into this agreement and undertake transactions involving the Fund(s). Attached is a certified true copy of the Institution's list of authorised signatories.
- I/We undertake to provide BOSWM MY with all information as it may require for the purpose of and in connection with completing the AAF, including but not limited to, my/our information on financial position, condition or prospect. I/We also undertake to provide such information and documents as BOSWM MY may reasonably require for the purpose of due diligence/enhanced due diligence as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLAFA PUA).
- I/We hereby acknowledge that I am / we are aware of the fees and charges that I/we will incur directly or indirectly when investing in the Fund(s).
- I/We represent a legally incorporated Institution. I/We declare that this application is not funded by gains from any unlawful activities.
- I/We hereby irrevocably permit and authorise BOSWM MY to disclose information regarding my/our particulars, the money and the other particulars of my/our accounts with BOSWM MY and particulars of all my/our transactions with or through BOSWM MY, or any such other information relating to me/us which may be in BOSWM MY's possession, to such persons as they may think fit or otherwise necessary to enable BOSWM MY to establish and maintain its business relationship with me/us or to provide any services or give effect to any transaction which may be requested or authorised by me/us.
- I/We hereby irrevocably permit and authorise BOSWM MY to disclose to the relevant regulators and/or authorities information regarding transactions or information of my/our accounts as required under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLAFA PUA).
- I/We hereby irrevocably permit and authorise BOSWM MY to obtain from or disclose to any of its related companies (including but not limited to Bank of Singapore Ltd), associated companies, and any other member of the group of companies to which the Manager belongs, any information and documents regarding my/our particulars or account(s) which the disclosing party may possess.
- Applicable to wholesale fund only** - I/We hereby confirm that I am / we are sophisticated investor(s) as defined in definition section of the respective information memorandum of the relevant Fund.

5. AUTHORISED SIGNATORY/IES MUST SIGN THIS FORM

 Affix Seal Or Company Stamp
Authorised Signatory (1) _____ Date _____
 Affix Seal Or Company Stamp
Authorised Signatory (2) _____ Date _____

6. FOR STAFF USE ONLY

AUTHENTICATION OF IDENTITY
In compliance with Section 16(2) of Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001, I hereby confirm the following:

☐ Original identity document(s) sighted.

☐ Photocopy of identity document(s) attached.

Name _____ Signature _____

NRIC No. _____ Date _____

Staff Name _____

Staff Code _____

7. FOR OFFICE USE ONLY

Form Verified By Initial _____ Date _____	Processed By Initial _____ Date _____
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- A Business Code**
- | | | | |
|------------------------------------|-----------------------------|--------------------|-----------|
| 01 Agriculture, Forestry & Fishery | 05 Government/Public Admins | 09 Retail Trade | 99 Others |
| 02 Automobile | 06 Insurance & Real Estate | 10 Service | |
| 03 Construction/Property Dev. | 07 Manufacturing | 11 Transportation | |
| 04 Finance/Banking | 08 Mining | 12 Wholesale Trade | |

- B Source Of Income Code**
- | | |
|---------------------------------|-------------------|
| A Disposal of non-core business | D Surplus fund |
| B Fund raising exercise | E Working capital |
| C Cash-in-hand | 99 Others |

TERMS AND CONDITIONS OF BOSWM MY ACCOUNT APPLICATION

Please read the following Terms and Conditions before completing the AAF. By applying for units in any Fund(s) managed by BOS Wealth Management Malaysia Berhad (BOSWM MY), the applicant(s) agrees to and will be bound by the following Terms and Conditions, the deed and supplemental deed(s) (if any), the prospectus and supplementary prospectus(es) (if any), information memorandum and supplementary information memorandum(s) (if any) of the relevant Fund(s) [which deed and supplemental deed(s) (if any) are hereinafter referred to collectively as "the deed", the prospectus and supplementary prospectus(es) (if any) are hereinafter referred to collectively as "the prospectus" and the information memorandum and supplementary information memorandum(s) (if any) are hereinafter referred to collectively as "the information memorandum"] in respect of ALL transactions. Where there are conflicting terms, the terms of the relevant deed shall prevail.

I/we hereby agree and consent to the processing of all information by BOSWM MY in accordance with the terms and conditions as stipulated in BOSWM MY's "Important Notice On Personal Details", which is published on BOSWM MY's website at www.boswealthmanagement.com.my. Where any information which I/we provided to BOSWM MY relates to the personal data of another person, I/we hereby confirm that I/we have duly notified and obtained, and/or undertake to notify and obtain their agreement and consent to the processing of their information according to the "Important Notice On Personal Details". I/we hereby agree to indemnify BOSWM MY against any losses, damages, costs and expenses which may be suffered or incurred as a result of breach of this provision.

1. IMPORTANT POINTS TO NOTE BEFORE COMPLETING THIS FORM

- The APPLICANT(S) MUST NOT USE CORRECTION FLUID.
- Where there is ANY CORRECTION OR AMENDMENT, the APPLICANT(S) MUST SIGN next to the correction or amendment to indicate that the change is duly authorised by the applicant(s).

2. ELIGIBILITY

Corporate Applicant

The Corporate Applicant is required to submit the following documents along with the AAF to BOSWM MY.

Entity	Documents Required (Certified True Copy)				
	Certificate of Incorporation	Form 24 & Form 49	Memorandum and Articles of Association or Constitution By Laws	Annual Return Form / Annual Return Report	Company Resolution (include specimen signatures & signatories' NRIC or passport)
Malaysian/ Company (Bhd Sdn Bhd)	✓	✓	✓	✓	✓
Society/ Association/ Cooperative/ Government Body	✓		✓		✓
Sole Proprietor	✓ (include certified true copy of NRIC or passport)				
Pension Fund					✓

3. PAYMENT FOR INVESTMENT

The completed AAF and Investment Form should be accompanied by payment and submitted to BOSWM MY offices or its authorised representatives.

The allotment of units will be based on the date of receipt of a complete purchase request subject to clearance of the cheque and terms and conditions as stated in the prospectus or information memorandum of the relevant Fund(s). Cheque / bank draft must be made payable to "BOS WEALTH MANAGEMENT MALAYSIA BERHAD". Please include bank commission for outstation cheque and cross 'A/C PAYEE ONLY'.

BOSWM MY does not accept any cheque issued by a third party for the account of the applicant(s) (referred to as "third party cheques").

4. FEES, CHARGES AND EXPENSES

The applicant(s) shall be responsible for the payment of any charges, fees, costs, expenses, taxes (if any) and other expenses payable or incurred by BOSWM MY and in holding or executing transaction in respect of any of the units.

BOSWM MY shall not be liable to pay any interest to the applicant(s) for any moneys held by BOSWM MY for the applicant for any reason whatsoever.

5. CONFIRMATION ADVICE (CA)

A computer generated CA showing the transaction details confirmation advice and your unitholdings will be issued to you as proof of transactions. The units stated in the CA shall be conclusive as to the correctness of the units stated therein unless notice in writing of any error is given to BOSWM MY within 14 business days of the date of the BOSWM MY. In the event that an error is detected in the CA, BOSWM MY may cancel by issuing a Credit Note and reissue a new CA to reflect the correct number of units held by the unitholder.

6. SOPHISTICATED INVESTOR(S)

Only "sophisticated investor" may invest in a wholesale fund. To obtain the latest definition of "sophisticated investors", kindly refer to the definition section of the respective information memorandum of the relevant fund

7. RIGHTS OF BOS WEALTH MANAGEMENT

BOSWM MY reserves the right to:

- accept or reject any applications in whole or in part without assigning any reason whatsoever;
- request for additional documents from the applicant to support the application; and
- vary these Terms and Conditions at any time without notifying the applicant(s).

8. CHANGE OF APPLICATION DETAILS

BOSWM MY must be notified immediately in writing of any change to the correspondence address or resident status or other particulars stated in this form. If the applicant is a Malaysian company, the applicant is required to submit a certified true copy of Form 44 (Notice of Situation of Registered Office and Office Hours and Particulars of Change) to BOSWM MY.

9. INDEMNITY

The applicant(s) hereby agree(s) to indemnify BOSWM MY, the Trustee(s) and any of authorised representatives against any losses, damages, costs and expenses which may be suffered or incurred by any or all of them arising whether directly or indirectly out of or in connection with BOSWM MY accepting, relying on or failing to act on any instructions given by or on behalf of the applicant(s) unless due to the wilful default or negligence of BOSWM MY.

10. NOTICES

Notices and communication are sent at the risk of the applicant(s). BOSWM MY shall not be liable for the interruption, error or failure in transmission or delivery of notices unless due to its wilful default or negligence.

UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing In A Unit Trust Fund With Borrowed Money Is More Risky Than Investing With Your Own Savings

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

Acknowledgement Of Receipt Of Risk Disclosure Statement

I acknowledge that I have received a copy of this Unit Trust Loan Financing Risk Disclosure Statement and understand its contents.

Signature: _____

Full name: _____

Date: _____